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(Rev. 10/02)  
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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

thinkorswim, Inc.

- ☐ Individual(s) ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☒ Corporation-State Delaware  
☐ Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: thinkorswim Group, Inc.

Internal

Address: \_\_\_\_\_

Street Address: 3304 N. Lincoln Ave.

City: Chicago State: IL Zip: 60657

- ☐ Individual(s) citizenship \_\_\_\_\_  
☐ Association \_\_\_\_\_  
☐ General Partnership \_\_\_\_\_  
☐ Limited Partnership \_\_\_\_\_  
☒ Corporation-State Delaware  
☐ Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s)  
76174990

B. Trademark Registration No.(s)  
2568003

Additional number(s) attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathryn A. Erickson, Esq.

Internal Address: Sugar, Friedberg &  
Felsenthal

Street Address: 30 N. LaSalle St., #3000

City: Chicago State: IL Zip: 60602

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 3.41) \$ 50.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathryn A. Erickson, Esq.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

TRADEMARK  
REEL: 002941 FRAME: 0966

Express Mail #ET904991813US

04/02/2004 METACHE 00000148 76174990 40.00 IP 25.00 IP  
01 RC:6521  
02 FT:6522

## TRADEMARK ASSIGNMENT

thinkorswim, Inc., a Delaware corporation having a place of business at 3304 North Lincoln Avenue, Chicago, Illinois 60657 (the "Seller"), is the owner of the trademark registration and trademark application identified on Schedule A, including the goodwill of the business connected with the use of, and symbolized by, said mark.

For good and valuable consideration, the receipt of which is hereby acknowledged, the Seller hereby assigns to thinkorswim Group, Inc., a Delaware corporation having a place of business at 3304 North Lincoln Avenue, Chicago, Illinois 60657 (the "Buyer"), the entire right, title and interest in and to the trademark registration and trademark application listed on Schedule A and the trademarks which are the subject thereof, including the goodwill of the business connected with the use of, and symbolized by, said marks.

The Seller further agrees, for itself, its successors and assigns, to execute such further documents and to perform such further lawful acts as may reasonably be requested by the Buyer to effectuate this assignment.

Witness my hand and seal this 17th day of March, 2004.

thinkorswim, Inc.

By: 

Name: Joel Blom

Title: President and CEO

### DECLARATION OF ACCEPTANCE

The Buyer hereby agrees to this assignment of the listed trademark registration from the Seller and applies for recording of this Assignment in the registers of the corresponding Trademark offices.

Witness my hand and seal this 17th day of <sup>March</sup>~~February~~, 2004.

thinkorswim Group, Inc.

By: 

Tom Sosnoff, CEO

**SCHEDULE A**

**Trademark**

**Reg. No.**

**Reg. Date**

thinkorswim

2568003

May 7, 2002

**Serial Number**

thinkanywhere

76174990